

Docket No.: 1324.68392

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification of this application:

Class: ^^^^

Subclass: ^^^^

Prior application: 09/821,976

Examiner: Payne, Sharon E.

Art Unit: 2875

REQUEST FOR FILING CONTINUING OR DIVISIONAL APPLICATION

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a request for filing a

() Continuation application,

(X) Divisional application,

under 37 CFR 1.53(b), of pending prior application Serial No. 09/821,976 filed on March 30, 2001 for LIGHTING UNIT.

CERTIFICATION UNDER 37 CFR 1.10

I certify that, on the date shown below, these documents are being deposited with the United States Postal Service in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, as "Express Mail Post Office to Addressee," Mailing Label No. EV032737004 US.

Date October 1, 2003

Signature *D. J. Caran*

16623 U.S. PTO
10/676315



1. (X) Enclosed is a copy of the prior application, including the oath or declaration as originally filed. The attached application papers are a true copy of prior application Serial No.: 09/821,976 as originally filed on March 30, 2001, and no amendments referred to in the oath or declaration as originally filed introduced new matter. This statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

The copy of the papers of the prior application as filed are as follows:

- (X) 162 pages of specification including claims and abstract
 - (X) 61 sheets(s) of drawing
 - (X) 5 pages of declaration and power of attorney
2. () Enclosed is a replacement specification reflecting previously submitted amendments and/or additional formal amendments. No new matter is added by the changes. A copy of the oath or declaration as originally filed is also included.

The new application includes:

- () _____ pages of specification including claims and abstract
 - () _____ sheets(s) of drawing
 - () _____ pages of declaration and power of attorney
3. () A statement (Declaration) claiming small entity status was filed in the prior application and small entity status for this application is proper and desired.
4. (X) Cancel in this application original claim(s) 1-8 and 17-54 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes).

5. (X) The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION, LESS ANY CLAIMS CANCELED BY AMENDMENT BELOW

For	No. Filed	No. Extra	Rate	Fee
Basic Fee.....				\$770.00
Total Claims...	8 -20 =	0	x \$18.00 =	\$ 0
Independent Claims....	4- 3 =	1	x \$84.00 =	\$ 84.00
		Total Filing Fee		\$854.00
Statement of Status as Small Entity Reducing Filing Fee By Half To				\$0

6. (X) A check in the amount \$854.00 is enclosed.
7. (X) The Commissioner is hereby authorized to charge any additional fees which may be required for this application under 37 C.F.R. § 1.16-1.17, or credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.
8. () A petition and fee for an extension of time has been filed in the pending prior application. A copy of the petition is attached.
9. (X) Amend the specification by inserting before the first line the sentence: --This is a () continuation, (X) divisional, () continuation-in-part, of application Serial No. 09/821,976, filed March 30, 2001.--
10. (X) Priority of application Serial No(s). 2000-187026 filed on June 21, 2000 in Japan; and 2000-378352 filed on December 13, 2000 in Japan are claimed under 35 U.S.C. § 119.
- (X) The certified copy(ies) has (have) been filed in prior application Serial No. 09/821,976, filed March 30, 2001.
11. (X) The prior application is assigned of record to: **Fujitsu Limited**. The assignment was recorded on March 30, 2001 on Reel 011673, Frame 0381. Fujitsu Limited then assigned this application to **FUJITSU DISPLAY TECHNOLOGIES CORPORATION**, 1-1 Kamikodanaka, 4-chome, Nakaraha-ku, Kawasaki-shi, Kanagawa, 211-8588, Japan. This assignment was recorded on December 18, 2002 on Reel 013442 Frame 0107.

12. (X) The inventors of the invention of the parent application, serial number 09/821,976 are **Kelji Hayashi, Toshihiro Suzuki, Tetsuya Kobayashi, Mari Sugawara, Takeshi Gotoh, Hisashi Yamaguchi, and Tetsuya Hamada.**

13. (X) An information disclosure statement is submitted herewith.

14. (X) A preliminary amendment is enclosed herewith.

15. () Please delete the names of ^^^^, who is/are not inventors of the subject matter of the () continuing () divisional application.

16. (X) The power of attorney in the prior application is to:

Patrick G. Burns, Reg No. 29,367
Greer, Burns & Crain, Ltd.
300 South Wacker Drive
Suite 2500
Chicago, Illinois 60606

(X) The power appears in the original papers in the prior application.

() Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

() Associate Power of Attorney is enclosed.

() A copy of the Associate Power to ^^^^ is enclosed. The original Associate Power was filed in the parent application.

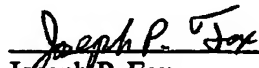
(X) Address all future communications to:

Patrick G. Burns
300 South Wacker Drive
Suite 2500
Chicago, Illinois 60606

October 1, 2003

Greer, Burns & Crain, Ltd.
300 South Wacker Drive
Suite 2500
Chicago, Illinois 60606
312.360.0080

KA132468392Divisional Transmittal.doc



Joseph P. Fox
Registration No. 41,760
Attorney of record

Parent Docket No.: 1324.65386
Continuation/Divisional Docket No.: 1324.68392

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hayashi et al.
Application No.: 09/821,976
Filed: March 30, 2001
For: LIGHTING UNIT

Art Unit: 2875
Examiner: Payne, Sharon E.

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**NOTIFICATION OF FILING OF CONTINUING
OR DIVISIONAL APPLICATION**

Notification is hereby being made of the filing of a:

☐ continuation
☐ continuation-in-part
☒ divisional

application for this case

☒ concurrently herewith.

☐ on _____
Date

Reg. No. 41,760

Tel. No.: 312.360.0080

Joseph P. Fox
SIGNATURE OF ATTORNEY

Joseph P. Fox
(type or print name of attorney)

Greer, Burns & Crain, Ltd.

300 S. Wacker Dr. Suite 2500
(address)

Chicago, IL 60606

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

☒ deposited with the United States Postal Service in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. 1.8a

37 C.F.R. 1.10

☐ with sufficient postage as "first class" mail. ☒ as "Express Mail" Mailing Label No. EV 032737004 US

October 1, 2003
Date

Daniel Canan
Signature

Daniel Canan
(type or print name of person certifying)

Notification Form

BEST AVAILABLE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application)

Applicant: Hayashi et al.)

Div. of)

Serial No. 09/821,976)

Conf. No. 1048)

Filed: March 30, 2001)

For: LIGHTING UNIT)

Art Unit: 2875)

Examiner: Payne, Sharon E.)

I hereby certify that this paper is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date.

10/01/2003

Date

David A. Aron
Express Mail No.: EV 032737004 USSUBMISSION OF FORMAL DRAWINGS

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are six (6) sheets of formal drawings to be substituted for 37A, 37B, 38, 40-41, 44A, 44B, and 57 of the drawings initially filed in the above-identified Application.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

By



Joseph P. Fox

Registration No. 41,760

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